

# **Gautami Gupta & Associates** **Company Secretaries**

**Office: 69/208, Danakhori, Kanpur -208001**

**Email: [sggautami95@gmail.com](mailto:sggautami95@gmail.com)**  
**Contact: 7275023050**

## **CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

### **FOR THE HALF YEAR AND YEAR ENDED MARCH 31, 2020**

The Securities and Exchange Board of India vide gazette notification dated June 8, 2018 and vide its press release dated December 3, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. April 1, 2019. Further, SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 1, 2019.

On the basis of examination of relevant documents of **CARBON SPECIALTIES LIMITED** ('the Company') having its Registered Office at **53/10, Naya Ganj Kanpur-208001 (U.P.)** maintained and provided by the **ABS Consultant Private Limited**, Registrar and Share Transfer Agent ('RTA') pertaining to period from **October 1, 2019 to March 31, 2020** ('period') for the purpose of issuing a Certificate under **sub-regulation (9) of Regulation 40** of the **SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015** and based on the information provided by the Company, we hereby certify that :

- A. There were no requests / cases of transfer, transmission, sub-division, consolidation and renewal, transposition of shares, exchange or endorsement of calls/allotment monies during the period.
- B. Any other information: Physical verification of the documents could not be done due to lockdown on account of pandemic COVID-19. This certificate is solely issued on the basis of the certificate electronically provided by RTA.

**For GAUTAMI GUPTA & ASSOCIATES**  
**Company Secretaries**  
**For GAUTAMI GUPTA & ASSOCIATES**  
**COMPANY SECRETARIES**

  
Gautami Gupta  
Proprietor  
ACS No. 57547  
C.P. No. 21796  
Gautami Gupta  
(Proprietor)

ACS No.: 57547 CP. No. : 21796  
**UDIN: A057547B000279956**

Place: Kanpur  
Date: May 26, 2020